

Boundary behavior of optimal approximants

Catherine Bénéteau, Myrto Manolaki and Daniel Seco

USF / UCD / ICMAT

Madrid International Workshop on Operator Theory and Function
Spaces, UAM, 16th Oct 2018

Definition

Dirichlet-type space, D_α , is:

$$\{f \in \text{Hol}(\mathbb{D}) : f(z) = \sum_{k \in \mathbb{N}} a_k z^k, \|f\|_\alpha^2 = \sum_{k=0}^{\infty} |a_k|^2 (k+1)^\alpha < \infty\}$$

Spaces over the disc

Definition

Dirichlet-type space, D_α , is:

$$\{f \in \text{Hol}(\mathbb{D}) : f(z) = \sum_{k \in \mathbb{N}} a_k z^k, \|f\|_\alpha^2 = \sum_{k=0}^{\infty} |a_k|^2 (k+1)^\alpha < \infty\}$$

Today focus on these 3 examples:

Examples

$$\alpha = -1, \mathcal{A}^2 = \text{Hol}(\mathbb{D}) \cap L^2(\mathbb{D})$$

Definition

Dirichlet-type space, D_α , is:

$$\{f \in \text{Hol}(\mathbb{D}) : f(z) = \sum_{k \in \mathbb{N}} a_k z^k, \|f\|_\alpha^2 = \sum_{k=0}^{\infty} |a_k|^2 (k+1)^\alpha < \infty\}$$

Today focus on these 3 examples:

Examples

$$\alpha = -1, \mathcal{A}^2 = \text{Hol}(\mathbb{D}) \cap L^2(\mathbb{D})$$

$$\alpha = 0, \mathcal{H}^2 = \text{Hol}(\mathbb{D}) \cap L^2(\mathbb{T})$$

Definition

Dirichlet-type space, D_α , is:

$$\{f \in \text{Hol}(\mathbb{D}) : f(z) = \sum_{k \in \mathbb{N}} a_k z^k, \|f\|_\alpha^2 = \sum_{k=0}^{\infty} |a_k|^2 (k+1)^\alpha < \infty\}$$

Today focus on these 3 examples:

Examples

$$\alpha = -1, \mathcal{A}^2 = \text{Hol}(\mathbb{D}) \cap L^2(\mathbb{D})$$

$$\alpha = 0, \mathcal{H}^2 = \text{Hol}(\mathbb{D}) \cap L^2(\mathbb{T})$$

$$\alpha = 1, \mathcal{D} = \text{Hol}(\mathbb{D}) \cap \{A(f(\mathbb{D})) < \infty\}$$

- The (forward) *shift operator* is bdd:

$$S : D_\alpha \rightarrow D_\alpha : Sf(z) = zf(z).$$

A closed subspace V of D_α is *invariant* if $SV \subset V$.

Cyclicity and invariant subspaces

- The (forward) *shift operator* is bdd:

$$S : D_\alpha \rightarrow D_\alpha : Sf(z) = zf(z).$$

A closed subspace V of D_α is *invariant* if $SV \subset V$.

-

$$[f]_\alpha (= [f]) = \overline{\text{span}\{z^k f : k = 0, 1, 2, \dots\}} = \overline{\mathcal{P}f}.$$

$$\mathcal{P} \text{ dense} \subset D_\alpha \Rightarrow [1] = D_\alpha.$$

Cyclicity and invariant subspaces

- The (forward) *shift operator* is bdd:

$$S : D_\alpha \rightarrow D_\alpha : Sf(z) = zf(z).$$

A closed subspace V of D_α is *invariant* if $SV \subset V$.

-

$$[f]_\alpha (= [f]) = \overline{\text{span}\{z^k f : k = 0, 1, 2, \dots\}} = \overline{\mathcal{P}f}.$$

$$\mathcal{P} \text{ dense } \subset D_\alpha \Rightarrow [1] = D_\alpha.$$

Definition

A function f is *cyclic* (in D_α) if $[f] = D_\alpha$

$$\Leftrightarrow \exists \{p_n\}_{n \in \mathbb{N}} \in \mathcal{P} : \|p_n f - 1\|_\alpha \xrightarrow{n \rightarrow \infty} 0$$

Cyclicity and invariant subspaces

- The (forward) *shift operator* is bdd:

$$S : D_\alpha \rightarrow D_\alpha : Sf(z) = zf(z).$$

A closed subspace V of D_α is *invariant* if $SV \subset V$.

-

$$[f]_\alpha (= [f]) = \overline{\text{span}\{z^k f : k = 0, 1, 2, \dots\}} = \overline{\mathcal{P}f}.$$

$$\mathcal{P} \text{ dense } \subset D_\alpha \Rightarrow [1] = D_\alpha.$$

Definition

A function f is *cyclic* (in D_α) if $[f] = D_\alpha$

$$\Leftrightarrow \exists \{p_n\}_{n \in \mathbb{N}} \in \mathcal{P} : \|p_n f - 1\|_\alpha \xrightarrow{n \rightarrow \infty} 0 \Rightarrow p_n \rightarrow 1/f \text{ pw in } \mathbb{D}$$

- $Z(f) \cap \overline{\mathbb{D}} = \emptyset + f \in \text{Hol}(\overline{D}) \Rightarrow f$ cyclic in $D_\alpha \Rightarrow Z(f) \cap \mathbb{D} = \emptyset$.

- $Z(f) \cap \bar{\mathbb{D}} = \emptyset + f \in \text{Hol}(\bar{D}) \Rightarrow f$ cyclic in $D_\alpha \Rightarrow Z(f) \cap \mathbb{D} = \emptyset$.

Smirnov ('30s): H^2 functions factorize as inner \times outer.

Theorem (Beurling, '49)

For \mathcal{H}^2 ($\alpha = 0$), cyclic \Leftrightarrow outer. Invariant subspaces generated by a single inner function.

- $Z(f) \cap \bar{\mathbb{D}} = \emptyset + f \in \text{Hol}(\bar{D}) \Rightarrow f$ cyclic in $D_\alpha \Rightarrow Z(f) \cap \mathbb{D} = \emptyset$.

Smirnov ('30s): H^2 functions factorize as inner \times outer.

Theorem (Beurling, '49)

For \mathcal{H}^2 ($\alpha = 0$), cyclic \Leftrightarrow outer. Invariant subspaces generated by a single inner function.

In other spaces, much known but still to be understood.

Optimization viewpoint

- BCLSS (JdAM,'15) and FMS (CMFT,'14):
How cyclic is a function?

Optimization viewpoint

- BCLSS (JdAM,'15) and FMS (CMFT,'14):

How cyclic is a function?

If we fix $\deg p_n \leq n$, how fast can $\|p_n f - 1\|_\alpha^2 \rightarrow 0$?

- BCLSS (JdAM,'15) and FMS (CMFT,'14):

How cyclic is a function?

If we fix $\deg p_n \leq n$, how fast can $\|p_n f - 1\|_\alpha^2 \rightarrow 0$?

Optimization viewpoint: Π_n ort. proj

$$\Pi_n : D_\alpha \rightarrow V_n = \{pf : p \in \mathcal{P}_n\}.$$

- BCLSS (JdAM,'15) and FMS (CMFT,'14):

How cyclic is a function?

If we fix $\deg p_n \leq n$, how fast can $\|p_n f - 1\|_\alpha^2 \rightarrow 0$?

Optimization viewpoint: Π_n ort. proj

$$\Pi_n : D_\alpha \rightarrow V_n = \{pf : p \in \mathcal{P}_n\}.$$

$\Rightarrow \exists! \Pi_n(1)$, best approximation to 1 in V_n .

Optimization viewpoint

- BCLSS (JdAM,'15) and FMS (CMFT,'14):

How cyclic is a function?

If we fix $\deg p_n \leq n$, how fast can $\|p_n f - 1\|_\alpha^2 \rightarrow 0$?

Optimization viewpoint: Π_n ort. proj

$$\Pi_n : D_\alpha \rightarrow V_n = \{pf : p \in \mathcal{P}_n\}.$$

$\Rightarrow \exists! \Pi_n(1)$, best approximation to 1 in V_n .

Definition

The *best approximant to $1/f$ of degree n* is the $p_n^* \in \mathcal{P} : p_n^* f = \Pi_n(1)$.

Optimization viewpoint

- BCLSS (JdAM,'15) and FMS (CMFT,'14):

How cyclic is a function?

If we fix $\deg p_n \leq n$, how fast can $\|p_n f - 1\|_\alpha^2 \rightarrow 0$?

Optimization viewpoint: Π_n ort. proj

$$\Pi_n : D_\alpha \rightarrow V_n = \{p f : p \in \mathcal{P}_n\}.$$

$\Rightarrow \exists! \Pi_n(1)$, best approximation to 1 in V_n .

Definition

The *best approximant to $1/f$ of degree n* is the $p_n^* \in \mathcal{P} : p_n^* f = \Pi_n(1)$.

- Now, cyclic $\Leftrightarrow \|p_n^* f - 1\|_\alpha^2 \rightarrow 0 \Leftrightarrow p_n^*(0) \rightarrow 1/f(0)$

Optimization viewpoint

- BCLSS (JdAM,'15) and FMS (CMFT,'14):

How cyclic is a function?

If we fix $\deg p_n \leq n$, how fast can $\|p_n f - 1\|_\alpha^2 \rightarrow 0$?

Optimization viewpoint: Π_n ort. proj

$$\Pi_n : D_\alpha \rightarrow V_n = \{pf : p \in \mathcal{P}_n\}.$$

$\Rightarrow \exists! \Pi_n(1)$, best approximation to 1 in V_n .

Definition

The *best approximant to $1/f$ of degree n* is the $p_n^* \in \mathcal{P} : p_n^* f = \Pi_n(1)$.

- Now, cyclic $\Leftrightarrow \|p_n^* f - 1\|_\alpha^2 \rightarrow 0 \Leftrightarrow p_n^*(0) \rightarrow 1/f(0)$
- BFKSS: When f not cyclic, $p_n f \rightarrow \overline{I(0)}$, I “inner part of f ”.

We solved these optimization problems:

Theorem (BCLSS, JdAM'15; FMS, CMFT'14)

$p_n^*(z) = \sum_{j=0}^n c_j z^j$ only solution to $Mc = b$ where

$$c = (c_j)_{j=0}^n, \quad M_{j,k} = \langle z^j f, z^k f \rangle_\alpha, \quad b_k = \langle 1, z^k f \rangle_\alpha.$$

Later we discovered a relation with OPs: Let ϕ_j of degree j defined by:

$$\langle \phi_j \mathbf{f}, \phi_k \mathbf{f} \rangle_\omega = \delta_{j,k},$$

and such that $\hat{\phi}_j(j) > 0$.

Later we discovered a relation with OPs: Let ϕ_j of degree j defined by:

$$\langle \phi_j f, \phi_k f \rangle_\omega = \delta_{j,k},$$

and such that $\hat{\phi}_j(j) > 0$.

Then we can obtain ϕ_j from p_j and p_{j-1} since:

Theorem (BKLSS, JLMS'16)

$$p_n(z) = \overline{f(0)} \sum_{k=0}^n \overline{\phi_k(0)} \phi_k(z)$$

Today several related questions:

- What happens on the boundary \mathbb{T} ?

Today several related questions:

- What happens on the boundary \mathbb{T} ?
- Can we obtain a closed formula for p_n in terms of a closed formula for f ?

Today several related questions:

- What happens on the boundary \mathbb{T} ?
- Can we obtain a closed formula for p_n in terms of a closed formula for f ?
- Can we find p_n *faster* than inverting M for each n ?

Today several related questions:

- What happens on the boundary \mathbb{T} ?
- Can we obtain a closed formula for p_n in terms of a closed formula for f ?
- Can we find p_n *faster* than inverting M for each n ?

YES, if f polynomial.

Let us find $g = 1 - p_n f \in \mathcal{P}_{n+2}$ for $f(z) = (1 - z)(2 - z) = 2 - 3z + z^2$.

Let us find $g = 1 - p_n f \in \mathcal{P}_{n+2}$ for $f(z) = (1 - z)(2 - z) = 2 - 3z + z^2$.

$$g \perp z^t(2 - 3z + z^2) \quad t = 0, \dots, n$$

Let us find $g = 1 - p_n f \in \mathcal{P}_{n+2}$ for $f(z) = (1 - z)(2 - z) = 2 - 3z + z^2$.

$$g \perp z^t(2 - 3z + z^2) \quad t = 0, \dots, n$$

$$\Rightarrow 2\hat{g}(t)\omega_t - 3\hat{g}(t+1)\omega_{t+1} + \hat{g}(t+2)\omega_{t+2} = 0$$

Let us find $g = 1 - p_n f \in \mathcal{P}_{n+2}$ for $f(z) = (1 - z)(2 - z) = 2 - 3z + z^2$.

$$g \perp z^t(2 - 3z + z^2) \quad t = 0, \dots, n$$

$$\Rightarrow 2\hat{g}(t)\omega_t - 3\hat{g}(t+1)\omega_{t+1} + \hat{g}(t+2)\omega_{t+2} = 0$$

- $\hat{g}(s)\omega_s$ satisfies a recurrence relation coming from f
($\deg(f) + 1$ -terms)

Let us find $g = 1 - p_n f \in \mathcal{P}_{n+2}$ for $f(z) = (1 - z)(2 - z) = 2 - 3z + z^2$.

$$g \perp z^t(2 - 3z + z^2) \quad t = 0, \dots, n$$

$$\Rightarrow 2\hat{g}(t)\omega_t - 3\hat{g}(t+1)\omega_{t+1} + \hat{g}(t+2)\omega_{t+2} = 0$$

- $\hat{g}(s)\omega_s$ satisfies a recurrence relation coming from f ($\deg(f) + 1$ -terms)
- $\hat{g}(s)\omega_s$ can be obtained from the zeros of f by a closed formula but g has $n + 3$ degrees of freedom and $n + 1$ restrictions

Let us find $g = 1 - p_n f \in \mathcal{P}_{n+2}$ for $f(z) = (1 - z)(2 - z) = 2 - 3z + z^2$.

$$g \perp z^t(2 - 3z + z^2) \quad t = 0, \dots, n$$

$$\Rightarrow 2\hat{g}(t)\omega_t - 3\hat{g}(t+1)\omega_{t+1} + \hat{g}(t+2)\omega_{t+2} = 0$$

- $\hat{g}(s)\omega_s$ satisfies a recurrence relation coming from f ($\deg(f) + 1$ -terms)
- $\hat{g}(s)\omega_s$ can be obtained from the zeros of f by a closed formula but g has $n + 3$ degrees of freedom and $n + 1$ restrictions
- Additional restrictions:

$$(1 - p_n f)(1) = (1 - p_n f)(2) = 1$$

A general closed formula

Theorem

$\exists A_n = (A_{1,n}, \dots, A_{d,n})^*$ (ind. of k): for $k = 0, \dots, n + d$,

$$d_{k,n} = \frac{1}{\omega_k} \sum_{i=1}^d A_{i,n} \overline{z_i^k}. \quad (1)$$

A general closed formula

Theorem

$\exists A_n = (A_{1,n}, \dots, A_{d,n})^*$ (ind. of k): for $k = 0, \dots, n + d$,

$$d_{k,n} = \frac{1}{\omega_k} \sum_{i=1}^d A_{i,n} \overline{z_i^k}. \quad (1)$$

A_n only solution to

$$E_{Z,n} A_n = -v_0^*, \quad (2)$$

where

$$E_{Z,n,l,m} = \sum_{k=0}^{n+d} \frac{\overline{z_m^k} z_l^k}{\omega_k}. \quad (3)$$

A general closed formula

Theorem

$\exists A_n = (A_{1,n}, \dots, A_{d,n})^*$ (ind. of k): for $k = 0, \dots, n + d$,

$$d_{k,n} = \frac{1}{\omega_k} \sum_{i=1}^d A_{i,n} \overline{z_i^k}. \quad (1)$$

A_n only solution to

$$E_{Z,n} A_n = -v_0^*, \quad (2)$$

where

$$E_{Z,n,l,m} = \sum_{k=0}^{n+d} \frac{\overline{z_m^k} z_l^k}{\omega_k}. \quad (3)$$

So inverting a $d \times d$ matrix we can obtain a closed formula for all n .
Also, for p_n and hence for ϕ_k .

Corollary

$$\text{dist}^2(1, \mathcal{P}_n f) = - \sum_{i=1}^d A_{i,n} = v_0 E_{Z,n}^{-1} v_0^*.$$

In particular,

$$\sum_{i=1}^d A_{i,n} \in [-1, 0].$$

Also, if $Z(f) \subset \mathbb{D}$, then

$$\text{dist}^2(1, [f]) = v_0 K_Z^{-1} v_0^*.$$

Corollary

$$\text{dist}^2(1, \mathcal{P}_n f) = - \sum_{i=1}^d A_{i,n} = v_0 E_{Z,n}^{-1} v_0^*.$$

In particular,

$$\sum_{i=1}^d A_{i,n} \in [-1, 0].$$

Also, if $Z(f) \subset \mathbb{D}$, then

$$\text{dist}^2(1, [f]) = v_0 K_Z^{-1} v_0^*.$$

Notice $E_{Z,\infty,l,m} = k_{z_m}(z_l)$.

Wiener norm is a measure of absolute convergence of the Taylor series on the boundary:

$$A(\mathbb{T}) = \{f : \sum |a_k| < \infty\}.$$

Wiener norm is a measure of absolute convergence of the Taylor series on the boundary:

$$A(\mathbb{T}) = \{f : \sum |a_k| < \infty\}.$$

Theorem

Let $f \in \mathcal{P} : Z(f) \cap \mathbb{D} = \emptyset$. $\exists C \in \mathbb{R} : \forall n \in \mathbb{N}$,

$$\|p_n f - 1\|_A \leq C.$$

Wiener norm is a measure of absolute convergence of the Taylor series on the boundary:

$$A(\mathbb{T}) = \{f : \sum |a_k| < \infty\}.$$

Theorem

Let $f \in \mathcal{P} : Z(f) \cap \mathbb{D} = \emptyset$. $\exists C \in \mathbb{R} : \forall n \in \mathbb{N}$,

$$\|p_n f - 1\|_A \leq C.$$

Hence, unif. bounded.

Wiener norm is a measure of absolute convergence of the Taylor series on the boundary:

$$A(\mathbb{T}) = \{f : \sum |a_k| < \infty\}.$$

Theorem

Let $f \in \mathcal{P} : Z(f) \cap \mathbb{D} = \emptyset$. $\exists C \in \mathbb{R} : \forall n \in \mathbb{N}$,

$$\|p_n f - 1\|_A \leq C.$$

Hence, unif. bounded.

Perhaps, true if $f \in A(\mathbb{T})$?

Theorem

Let $Z(f) \cap \mathbb{D} = \emptyset$, $z_0 \in \bar{\mathbb{D}} \setminus Z(f)$. Then

$$(p_n f - 1)(z_0) \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

Convergence is locally uniform.

Theorem

Let $Z(f) \cap \mathbb{D} = \emptyset$, $z_0 \in \bar{\mathbb{D}} \setminus Z(f)$. Then

$$(p_n f - 1)(z_0) \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

Convergence is locally uniform.

So polynomials are “well behaved” on the boundary... Are there “badly behaved” functions?

Theorem

Let $Z(f) \cap \mathbb{D} = \emptyset$, $z_0 \in \bar{\mathbb{D}} \setminus Z(f)$. Then

$$(p_n f - 1)(z_0) \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

Convergence is locally uniform.

So polynomials are “well behaved” on the boundary... Are there “badly behaved” functions?

To be continued...

Coming up work BMS and Ivrii

