

Shape classification based on interpoint distance distributions

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Abstract

According to Kendall (1989), in shape theory... *The idea is to filter out effects resulting from translations, changes of scale and rotations and to declare that shape is “what is left”.* While this statement applies in principle to classical shape theory based on landmarks, the basic idea remains also when other approaches are used. For example, we might consider, for every shape, a suitable associated function which, to a large extent, could be used to characterize the shape. This finally leads to identify the shapes with the elements of a quotient space of sets in such a way that all the sets in the same equivalence class share the same identifying function. In this paper, we explore the use of the interpoint distance distribution (i.e. the distribution of the distance between two independent uniform points) for this purpose. This idea has been previously proposed by other authors [e.g., Osada et al. (2002), Bonetti and Pagano (2005)]. We aim at providing some additional mathematical support for the use of interpoint distances in this context. In particular, we show the Lipschitz continuity of the transformation taking every shape to its corresponding interpoint distance distribution. Also, we obtain a partial identifiability result showing that, under some geometrical restrictions, shapes with different planar area must have different interpoint distance distributions. Finally, we address practical aspects including a real data example on shape classification in marine biology.

Keywords: Functional data, Identifiability, Interpoint distance, Shape analysis, Volume function.

1. Introduction

We are concerned here with the problem of classifying *shapes*, where, in informal terms, a shape is the family of all plane figures that can be obtained from a basic template figure (e.g., a square) by applying isometry transformations (rigid movements + symmetries) together with changes of scale. Also, we would like to include all the “deformed versions” (within some limits) of these basic elements, subject again to isometry transformations and/or scale changes. So, to mention just a very simple example,

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18 one could think that we want to automatically discriminate between two
19 capital letters, say “B” and “D”, manually drawn with a thick line marker,
20 whatever their size or their orientation.

21 In marine biology, one might be interested on classifying fish species us-
22 ing shape analysis techniques. In some cases the basis for the recognition
23 method is the fish image itself; see Storbeck and Daan (2001). Other re-
24 searches have used the so-called *otholits*, small pieces present in the inner
25 ear of the fish, which can be considered as “microfossils” whose shapes are
26 useful in species recognition, among other applications; see Lombarte et al.
27 (2006). In Section 5 we will use this otolith example as an illustration for
28 the methodology we propose.

29 Whatever the practical problem at hand, we need to define, in precise
30 mathematical terms, what we mean for “shapes” in our setting. Then we
31 will be ready to use the statistical methods for classification, either super-
32 vised (discrimination) or unsupervised (clustering) from the available data
33 set of shapes. In the example of Section 5 we will focus on clustering but
34 discrimination methods could be considered as well.

35 The classical theory of shape analysis is largely based on the use of
36 “landmarks” (i.e., finite vectors of coordinates characterizing the shapes). It
37 was developed, to a large extent, by D. Kendall who expressively referred to
38 shape analysis studies in the following terms: *The idea is to filter out effects*
39 *resulting from translations, changes of scale and rotations and to declare that*
40 *shape is “what is left”*; see Kendall (1989). A general perspective of this
41 theory can be found in Kendall (1989), Kendall et al. (1999) or Kendall and
42 Le (2010).

43 We should mention however that other, less general, notions of shapes
44 have been proposed. As Kent (1995) points out, “... *statistical models for*
45 *shapes may be based on underlying models for the landmarks themselves, or*
46 *they may be constructed directly within shape space. In some special cases*
47 *specialized models may be constructed*”. Our approach here could be un-
48 derstood as one of these specialized models: roughly speaking, we propose
49 to identify a shape with the corresponding *interpoint distance distribution*,
50 that is, the distribution of the distance (normalized to 1) between two ran-
51 domly chosen points in the figure.

52 *Related literature*

53 In fact, the idea of using the interpoint distance distribution to identify
54 the shapes has been previously proposed by other authors, with different
55 applications in mind. For example, the very much cited paper by Osada et
56 al. (2002) explores the practical aspects of using the interpoint distance in
57 the problem of discriminating shapes in image analysis. As these authors
58 point out, “*The primary motivation for this approach is to reduce the shape*
59 *matching problem to the comparison of probability distributions, which is*
60 *simpler than traditional shape matching methods that require pose registra-*
61 *tion, feature correspondence, or model fitting. We find that the dissimi-*
62

larities between sampled distributions of simple shape functions (e.g., the distance between two random points on a surface) provide a robust method for discriminating between classes of objects (e.g., cars versus airplanes) in a moderately sized database, despite the presence of arbitrary translations, rotations, scales, mirrors, tessellations, simplifications, and model degeneracies". See also Bonetti and Pagano (2005) for a different use of interpoint distance distributions in the context of medical research.

In Kent (1994) interpoint distances (between landmarks) are used, via multi-dimensional scaling, in shape analysis. Our approach here is somewhat different as it avoids the use of landmarks at the expense of some loss in generality.

Let us finally mention that the use of interpoint distance distributions entails the precise definition of a corresponding, suitable "space of shapes"; see Section 2 below, where the whole approach makes sense. Other related shape spaces can be found in the literature, in particular those based on "deformable templates": see Grenander (1976), Amit et al. (1991), Hobolt and Vedel-Jensen (2000), Hobolt et al. (2003).

The purpose and contents of this paper

On the theoretical side, we will provide some support for the use of interpoint distance distributions to characterize shapes: first, we relate, in Theorem 1 below, the distance between interpoint distance distributions with a natural, geometrically motivated, distance between shapes defined in Section 2. Second, we consider the problem of providing a sufficient condition on the sets in the Euclidean plane in order to ensure that two different sets fulfilling this condition must necessarily have different interpoint distance distributions. Theorem 2 provides a quite general identifiability criterion, which is in fact the most general result of this type we are aware of. In the Supplementary Material section we also briefly consider the connection between the interpoint distance distribution and the covariogram (sometimes called "set covariance"), another popular function which has been used sometimes to characterize sets and shapes; see Cabo and Baddeley (1995, 2003).

Finally, in Section 5 our methodology based on interpoint distance distributions is used in a problem of fishes otoliths classification, via hierarchical clustering.

2. The space of shapes

In what follows we will mainly focus on the case of shapes in the plane \mathbb{R}^2 (the most important, by far, in practical applications). However, some of the ideas we will develop can be also adapted to more general, multivariate cases. Our starting point will be the family \mathcal{C} of compact non-empty sets in \mathbb{R}^2 with diameter 1; this means that $\text{diam}(C) = \max\{\|x - y\|, x, y \in C\} = 1$, for all $C \in \mathcal{C}$, where $\|\cdot\|$ stands for the Euclidean norm. We may think

107 that the family \mathcal{C} is the result of transforming the set of all possible plane
 108 images by a uniform change of scale (where “uniform” means that the same
 109 transformation scale is applied in both coordinates) in such a way that all
 110 of them have a common diameter. We will define our space of shapes as the
 111 quotient space obtained from a natural equivalence relation in \mathcal{C} . However,
 112 the family \mathcal{C} is too large to work with (in particular, to define a meaningful,
 113 tractable distance between shapes). So we will need to restrict ourselves to
 114 a smaller subset $\mathcal{C}_1 \subset \mathcal{C}$ which, still, will include most “black-and-white”
 115 images arising in practical applications.

116 To be more specific, given two positive constants a and m_1 , we define \mathcal{C}_1
 117 as the class of sets $C \in \mathcal{C}$ fulfilling the following conditions:

- 118 (i) $\mu(C) \geq a$, where μ denotes the Lebesgue measure in \mathbb{R}^2 .
- 119 (ii) All the sets in \mathcal{C}_1 are regular, that is, every $C \in \mathcal{C}_1$ fulfills $C = \overline{\text{int}(C)}$.
- 120 (iii) $\mu(B(\partial C, \epsilon)) < m_1 \epsilon$, $\forall \epsilon \in (0, 1]$.

121 Here ∂A denotes the topological boundary of the set A , $B(A, \epsilon)$ stands
 122 for the “parallel set” $B(A, \epsilon) = \{x : d(x, A) \leq \epsilon\}$ and $d(x, A) = \inf\{\|x -$
 123 $y\|, y \in A\}$ (when $A = \{x\}$ we will use the standard notation $B(x, \epsilon)$ instead
 124 of $B(\{x\}, \epsilon)$).

We assume that the space \mathcal{C}_1 is endowed with the metric,

$$d_{HH}(C, D) = d_H(C, D) + d_H(\partial C, \partial D),$$

125 where d_H stands for the ordinary Hausdorff metric between compact sets.

126 Let us now define on \mathcal{C}_1 the *isometry* equivalence relation: we will say
 127 that $C, D \in \mathcal{C}_1$ are *isometric* (and denote it by $C \sim D$) when there exists a
 128 isometry (i.e., a map $i : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ satisfying $\|i(x) - i(y)\| = \|x - y\|$) such
 129 that $i(C) = D$. The family of all sets in \mathcal{C}_1 equivalent to a set C will be
 130 represented by $[C]$.

131 Finally, denote by \mathcal{S} the family of equivalence classes and define in \mathcal{S}
 132 the *quotient metric*, \tilde{d}_{HH} , using the standard definition method [see, for
 133 example, Burago et al. (2001, p. 62)],

$$\tilde{d}_{HH}([C], [D]) = \inf\left\{\sum_{i=1}^n d_{HH}(P_i, Q_i) : [P_1] = [C], [Q_n] = [D], n \in \mathbb{N}\right\}, \quad (1)$$

134 where the infimum is taken on all finite sequences such that $[Q_i] = [P_{i+1}]$ for
 135 $i = 1, \dots, n - 1$. In principle, the general method (1) to translate a metric
 136 to the quotient space defines only a semi-metric, but we will see below that
 137 in this case it provides a true metric; in fact, we will also see in Proposition
 138 1 that (1) can be expressed in a much simpler way in our case.

139 The elements of the quotient metric space \mathcal{S} will be called *shapes*. So
 140 the shapes are in fact classes of equivalence $[C]$ for $C \in \mathcal{C}_1$.

142 *Some motivation*

143 Regarding the intuitive meaning of the assumptions imposed on \mathcal{C}_1 , let
 144 us note that they do not entail any serious restriction for the practical

classification problems of pattern recognition. To explain the meaning of these assumptions let us identify our shapes with figures drawn with a sign painting marker:

Assumption (i) just states that, after re-scaling, our shapes must have a minimum “thickness”, expressed in a minimum “drawing area” a .

Condition (ii) is usual in geometric probability models. Under this assumption, the set C cannot consist of a closed “central core” C_1 plus some “superfluous” parts P (such as rays or isolated points) with $\mu(P) = 0$.

Condition (iii) rules out involved drawings, with a very large boundary. To see this, let us briefly recall the notion of (*boundary*) *Minkowski content*, which is perhaps the simplest way (among several others, see e.g. Mattila (1995)) to define the “boundary measure” of a set $C \subset \mathbb{R}^d$. Of course, for the two-dimensional case, “boundary measure” is synonymous with “length perimeter”. In precise terms, the $(d-1)$ -dimensional (boundary) Minkowski content of C is defined by the limit

$$L_0(C) = \lim_{\epsilon \rightarrow 0} \frac{\mu(B(\partial C, \epsilon))}{2\epsilon}, \quad (2)$$

A closely related notion is the *one-sided (outer) Minkowski content*, defined by

$$L_0^+(C) = \lim_{\epsilon \rightarrow 0} \frac{\mu(B(C, \epsilon) \setminus C)}{\epsilon}, \quad (3)$$

See Ambrosio et al. (2008) for a comprehensive study of this notion, including conditions under which $L_0(C) = L_0^+(C)$. For statistical aspects related to the Minkowski content we refer to Cuevas et al. (2007) and Berrendero et al. (2014). Note that under condition (iii), $L_0(C) \leq m_1$ for all $C \in \mathcal{C}_1$.

A simpler, alternative expression for the distance between shapes.

While (1) gives the “canonical” expression for the distance in a quotient metric space, the effective calculation of this metric looks rather troublesome. The following proposition provides a simpler, more natural expression for (1) and shows that \tilde{d}_{HH} is in fact a metric instead of just a semi-metric: this means that $\tilde{d}_{HH}([C], [D]) = 0$ implies $[C] = [D]$.

Proposition 1. *The semi-metric (1) can be expressed as*

$$\tilde{d}_{HH}([C], [D]) = \inf\{d_{HH}(C', D') : C' \in [C], D' \in [D]\}. \quad (4)$$

Moreover, this expression defines in fact a true metric.

Proof. This result follows from Th. 2.1 in Cagliari et al. (2014). In part (i) of this theorem it is proved that a expression of type (4) holds for the semi-distance (1) in the quotient space whenever the equivalence classes of this space are the orbits of the action of a group of isometries. This is the case here.

The fact that expression (1), or (4), defines a true metric is a consequence of conclusion (iv) in the aforementioned theorem where the authors prove

182 that (4) is a metric if and only if the orbits of the action are closed sets. To
 183 see that $[C]$ is a closed set let us consider a convergent sequence $\{C_n\}$ of
 184 elements $C_n \in [C]$ with $n \geq 1$; denote by C_0 the limit, i.e., $d_{HH}(C_n, C_0) \rightarrow 0$.
 185 By definition of $[C]$, any C_n can be obtained as $C_n = t_n(C)$, where t_n is an
 186 isometry. Since $\|t_n(x) - t_n(y)\| = \|x - y\|$, it turns out that the sequence
 187 $\{t_n\}$ is equicontinuous; moreover, for each $x \in \mathbb{R}^2$ the sequence $\{t_n(x)\}$ is
 188 bounded; this is clearly true when $x \in C$, since the sequence $C_n = t_n(C)$ is
 189 d_H -convergent. Then, for a general $x \in \mathbb{R}^2$, $\{t_n(x)\}$ is also bounded (since,
 190 given $x_0 \in C$, $\|t_n(x) - t_n(x_0)\| = \|x - x_0\|$). So, from Ascoli-Arzelà Theorem
 191 [e.g., Folland (1999, p. 137)] we can ensure that there exists a subsequence
 192 of $\{t_n\}$, denoted again $\{t_n\}$, such that $t_n \rightarrow t$, uniformly on compacts, for
 193 some transformation t , which must be necessarily an isometry. We thus
 194 have $d_H(t_n(C), t(C)) \rightarrow 0$, but, since $t_n(C) = C_n$ and $d_H(C_n, C_0) \rightarrow 0$, we
 195 get $C_0 = t(C)$. Finally to see $C_0 \in [C]$ we only have to prove that C_0 fulfills
 196 conditions (i), (ii) and (iii) stated above in the definition of the class \mathcal{C}_1 . But
 197 this is a trivial consequence of the *Classification Theorem for Isometries on the*
 198 *Plane* [see, for example, Martin (1982, p. 65)] which states that each non-
 199 identity isometry on the plane is either a translation, a rotation, a reflection,
 200 or a glide-reflection (i.e., the composition of a reflection and a translation
 201 in the direction of the reflection axis). This shows that the plane isometries
 202 are “measure preserving” (i.e., $\mu(A) = \mu(t(A))$) and “boundary preserving”
 203 (i.e., $\partial t(C) = t(\partial C)$) and therefore, (i)-(iii) hold also for $t(C) = C_0$. We
 204 conclude that $[C]$ is closed. \square

205 3. The interpoint distance distribution

206 As mentioned in the introduction, our approach is based on eventually
 207 identifying a shape $[C]$ with a density function, supported on $[0, 1]$. This is
 208 the density function of the distribution of the random variable defined as
 209 the distance between two points randomly chosen on C .

210 To be more precise, for each $C \in \mathcal{C}_1$, define the random variable

$$Y_C = \|X_1 - X_2\|, \quad (5)$$

211 where X_1, X_2 are iid random variables uniformly distributed on C . It is
 212 readily seen that Y_C is absolutely continuous with respect to the Lebesgue
 213 measure μ . Let us denote by f_C the density function of Y_C .

214 Theorem 1 below provides a partial mathematical motivation for the
 215 identification $[C] \simeq f_C$ by showing that the transformation $[C] \mapsto f_C$ is
 216 continuous (in fact it is Lipschitz), so that if two shapes are close enough
 217 then the corresponding interpoint distance densities must be also close to-
 218 gether. The problem of analyzing to what extent f_C is helpful in order to
 219 identify C will be discussed in Section 4.

The Lipschitz property of the transformation $C \mapsto f_C$ will be established
 with respect to the standard L_1 metric between densities and also for the
 so-called Wasserstein (or Kantorovich) metric defined, for two cumulative

distribution functions on the real line F and G , by

$$d_W(F, G) = \int_{\mathbb{R}} |F(x) - G(x)| dx = \int_0^1 |F^{-1}(t) - G^{-1}(t)| dt,$$

where F^{-1}, G^{-1} denote the corresponding quantile functions. This metric has a number of interesting properties and applications. It has been sometimes called “the earth mover distance”, due to its connections with the transportation problem; see Villani, C. (2003). In Rubner et al. (2000) and Ling and Okada (2007) can be found some details on the use of this distance in image retrieval. Of course, when F and G are absolutely continuous (as it will always be the case in what follows), d_W can also be interpreted as a distance between the density functions.

The following result can be seen as a statement of “compatibility” between the distances $d_1(f, g) = \int_0^1 |f - g| d\mu$ or d_W (defined in the space of densities on $[0, 1]$) and the “natural” distance \tilde{d}_{HH} defined in our space of shapes. The whole point is to replace, in practice, the use of \tilde{d}_{HH} (whose effective calculation is cumbersome) by the more convenient distances d_1 or d_W . In principle, the intuitive interpretation of $d_1(f, g)$ (as the area of the region between f and g) is perhaps more direct but, as we have already mentioned, d_W is also used in image analysis, Rubner et al. (2000). Our experimental results, see Section 5 and the Supplementary Material document, show a very similar behaviour for both distances with perhaps a slightly better performance for d_1 .

Theorem 1. *Let \mathcal{D} be the space of probability density functions (with respect to the Lebesgue measure) on $[0, 1]$. Then*

- (a) *The transformation $T : \mathcal{C}_1 \rightarrow \mathcal{D}$ given by $T(C) = f_C$ fulfills the Lipschitz condition with respect to the L_1 metric, that is, $d_1(f_C, f_D) \leq m d_{HH}(C, D)$, for some constant $m > 0$.*
- (b) *Also, if we denote by F_C and F_D the cumulative distribution functions of Y_C and Y_D , respectively, we have that $d_W(F_C, F_D) \leq \frac{m}{2} d_{HH}(C, D)$, where m is the same constant of statement (a).*
- (c) *The transformation T induces another transformation $\tilde{T}([C]) = f_C$, defined in the quotient space, which is also Lipschitz, with constants m and $m/2$ respectively, for both considered metrics.*

Proof. (a) From the relation between the L_1 metric and the total variation distance,

$$\int |f_C - f_D| d\mu = 2 \sup_A |P_C(A) - P_D(A)|, \quad (6)$$

where P_C and P_D are the probability measures associated with f_C and f_D and the supremum is taken on $\mathcal{B} = \mathcal{B}([0, 1])$, the Borel sets of $[0, 1]$ on the

254 elements C, D chosen to represent $[C]$ and $[D]$. Now, observe that for all
 255 $A \in \mathcal{B}$, and using the notation introduced in expression (5),

$$P_C(A) = \mathbb{P}(Y_C \in A) = \mathbb{P}(Y_C \in A | X_1, X_2 \in C \cap D) \mathbb{P}(X_1, X_2 \in C \cap D) \\ + \mathbb{P}(Y_C \in A | X_1 \text{ or } X_2 \notin C \cap D) \mathbb{P}(X_1 \text{ or } X_2 \notin C \cap D),$$

256 where X_1, X_2 are iid uniformly distributed on C . A similar expression holds
 257 for $P_D(A)$, except that C is replaced with D and X_1, X_2 are replaced with
 258 X_1^*, X_2^* , iid uniform on D , that is,

$$P_D(A) = \mathbb{P}(Y_D \in A) = \mathbb{P}(Y_D \in A | X_1^*, X_2^* \in C \cap D) \mathbb{P}(X_1^*, X_2^* \in C \cap D) \\ + \mathbb{P}(Y_D \in A | X_1^* \text{ or } X_2^* \notin C \cap D) \mathbb{P}(X_1^* \text{ or } X_2^* \notin C \cap D),$$

259 Note that $\mathbb{P}(Y_C \in A | X_1, X_2 \in C \cap D) = \mathbb{P}(Y_D \in A | X_1^*, X_2^* \in C \cap D)$.
 260 Therefore,

$$|P_C(A) - P_D(A)| \leq \mathbb{P}(Y_C \in A | X_1, X_2 \in C \cap D) \mathbb{P}(X_1 \text{ or } X_2 \notin C \cap D) \\ + \mathbb{P}(Y_C \in A | X_1, X_2 \in C \cap D) \mathbb{P}(X_1^* \text{ or } X_2^* \notin C \cap D) \\ + \mathbb{P}(Y_C \in A | X_1 \text{ or } X_2 \notin C \cap D) \mathbb{P}(X_1 \text{ or } X_2 \notin C \cap D) \\ + \mathbb{P}(Y_D \in A | X_1^* \text{ or } X_2^* \notin C \cap D) \mathbb{P}(X_1^* \text{ or } X_2^* \notin C \cap D).$$

261 For the first term in the right-hand side of $|P_C(A) - P_D(A)|$ we have,

$$\mathbb{P}(Y_C \in A | X_1, X_2 \in C \cap D) \mathbb{P}(X_1 \text{ or } X_2 \notin C \cap D) \\ \leq \mathbb{P}(X_1 \text{ or } X_2 \in C \setminus D) \leq 2\mathbb{P}(X_1 \in C \setminus D) \leq \frac{2}{a}\mu(C \setminus D),$$

262 where a is the minimal area of the elements of \mathcal{C} defined in condition (i).
 263 The same holds for the third term. Similarly, we have that the second and
 264 fourth terms in $|P_C(A) - P_D(A)|$ are smaller than $\frac{2}{a}\mu(D \setminus C)$. Hence,

$$\sup_A |P_C(A) - P_D(A)| \leq \frac{4}{a}\mu(C \Delta D), \quad (7)$$

265 where $C \Delta D$ stands for the symmetric difference $C \Delta D = (C \setminus D) \cup (D \setminus C)$.

266 Let us now prove that

$$\mu(C \Delta D) \leq 2m_1 d_{HH}(C, D), \quad (8)$$

267 where m_1 is the constant introduced in the definition on \mathcal{C}_1 . To see this,
 268 put $d_{HH}(C, D) = r$ and take $x \in C \setminus D$. We must have $x \in B(D, r) \setminus D$
 269 which entails $x \in B(\partial D, r) \subset B(\partial C, 2r)$. Similarly, if $x \in D \setminus C$ we have
 270 $x \in B(C, r) \setminus C$ so that $x \in B(\partial C, r)$.

Thus, using assumption (iii) we have obtained that

$$\mu(C \Delta D) \leq \mu(B(\partial C, 2r)) \leq 2m_1 r = 2m_1 d_{HH}(C, D).$$

271 This, together with (6), (7) and (8) proves the first statement (a).
272

273 (b) This directly follows from Theorem 4 in Gibbs and Su (2002). Ac-
274 cording to this result, if we consider probability measures defined on a space
275 Ω with finite diameter, $\text{diam}(\Omega)$, we have $d_W \leq \text{diam}(\Omega) \cdot d_{TV}$. In our case,
276 all the considered distributions are defined on the unit interval. This, to-
277 gether with $2d_{TV} = d_1$ leads to statement (b).
278

279 (c) This statement follows from parts (a) and (b) combined with the
280 expression (4) of the quotient metric. \square

281 **Remark 1.** *The search for a Lipschitz-type as that in Theorem 1 is quite*
282 *natural in those situations where a set (or a shape) is replaced with a more*
283 *convenient auxiliary function. For example, a result in a similar spirit can*
284 *be found in Cabo and Baddeley (1995, Th. 5.4) but these authors consider*
285 *the so-called covariogram function, instead of the interpoint distance density,*
286 *and the distance d_{HH} is replaced with another metric defined in terms of*
287 *the so-called “linear scan transform”.*

288 The covariogram of a bounded Borel set $A \subset \mathbb{R}^d$ is defined by $K_A(y) =$
289 $\mu(A \cap T_y A)$, where $y \in \mathbb{R}^d$, $T_y A = A - y = \{a - y : a \in A\}$ and μ is
290 the Lebesgue measure in \mathbb{R}^d . This function is useful in different problems of
291 stochastic geometry and stereology. Some references are Cabo and Baddeley
292 (1995, 2003) and Galerne (2011). Using some results in these papers it
293 is easy to prove (see the Supplementary Material document for details)
294 that the random interpoint distance Y_C of a bounded Borel set C in the
295 plane has a continuous density f_C with $f_C(0) = 0$ and $f_C(\rho_C) = 0$, where
296 $\rho_C = \text{diam}(C)$.

297 4. The identifiability problem

298 In order to implement the idea of identifying a shape $[C]$ with the cor-
299 responding interpoint distance density f_C , we must still overcome a further
300 obstacle. Even if we restrict to the space of shapes $[C]$ with $C \in \mathcal{C}_1$ (where
301 the continuity of the transformation $[C] \mapsto f_C$ is warranted) one might have
302 that $f_C = f_D$ for $[C] \neq [D]$. This follows as a consequence of a counterex-
303 ample, due to Mallows and Clark (1970) [inspired by a question posed by
304 Blaschke], showing two non-congruent polygons, C and D with the same
305 *chord length* distribution. The chord length is the length of the segment
306 intercepted in C by a random chord. Since the chord length distribution
307 determines uniquely the interpoint distance distribution [see, Matern (1986,
308 p. 25)] the mentioned counterexample applies also to the interpoint distance
309 distribution.

310 The interpoint distance has been also used (with applications to crystal-
311 lography and DNA mapping) in finite sets of points; see Caelli (1980) and
312 Lemke et al. (2003) for further counterexamples, references and insights.

Thus, in summary, the interpoint distance distribution has not full capacity to discriminate shapes. Hence, we should further restrict our shape space to those sets $[C]$ such that C lives in an appropriate subset $\mathcal{C}_2 \subset \mathcal{C}_1$ fulfilling the identifiability condition

$$(iv) \text{ For all } C, D \in \mathcal{C}_2 \text{ with } [C] \neq [D] \text{ we have } Y_C \stackrel{d}{\neq} Y_D, \quad (9)$$

where Y_C and Y_D denote the interpoint distances (5) on C and D and the notation $\stackrel{d}{\neq}$ means that both variables are not identically distributed.

Some identifiability problems similar to (9) have been considered in the stochastic geometry literature under different conditions. For example, Matheron (1986) formulated the following conjecture: *Every planar convex body is determined within all planar convex bodies by its covariogram, up to translations and reflections.* This conjecture was completely solved, in the affirmative by Averkov and Bianchi (2009).

In the following subsection we will show that the analogous problem (9) for the interpoint distance distribution can be solved under quite general conditions, which do not require convexity.

4.1. Interpoint distances and polynomial area

The main geometric assumption we will use to guarantee identifiability is defined as follows.

Definition 1. A set $C \subset \mathbb{R}^2$ is said to have inner polynomial area if there exist constant $R = R(C) > 0$ and $L = L(C) > 0$ such that

$$\mu(I_r(C)) = \mu(C) - L(C)r + \pi r^2, \text{ for } 0 \leq r < R, \quad (10)$$

where $I_r(C)$ denotes the inner parallel set $I_r(C) = \{x \in C : B(x, r) \subset C\}$.

For example, the circle $C = B(0, m)$ fulfills (10) with $L(C) = 2\pi m$, $R < m$ and $\mu(C) = \pi m^2$.

Remark 2. It is clear that, if (10) holds, the quantity $L(C)$ could be obtained as a sort of inner Minkowski content, $L_0^-(C)$ defined in a similar way to outer version $L_0^+(C)$ given in (3). Moreover, if the ordinary (two-sided) Minkowski content, $L_0(C)$ does exist [see (2)] then condition (10) clearly entails $L(C) = L_0(C) = L_0^+(C)$.

Now, our goal is to motivate this definition in a twofold way. First, we will relate it to some relevant mathematical concepts. Second, we will exhibit a broad class of sets satisfying (10). For this purpose, it will be useful to recall some notions, due to Federer (1959), from geometric measure theory: the *reach* of a closed set is defined as the supremum, $\text{reach}(C)$, of those values such that any point x whose distance to C is smaller than $\text{reach}(C)$ has only one closest point on C . This concept leads to a valuable generalization of the notion of convex set, which can be interpreted also as

349 a geometric smoothness condition (not directly relying on differentiability
 350 assumptions). Figure 1 illustrates the nice intuitive meaning of this notion.
 351 It can be shown that C is convex if and only if $\text{reach}(C) = \infty$. Accord-
 352 ing to a result proved by Federer (1959) [which is a generalization of the
 353 classical Steiner's formula for convex sets], the sets of positive reach have a
 354 polynomial volume. More precisely [Federer (1959), Ths. 5.6 and 5.19]:



Figure 1: The set C in the left has positive reach r (any x whose distance to C is smaller than r has only one closest point on C). The set C in the right has not positive reach.

355

356 *If $S \subset \mathbb{R}^d$ is a compact set with $r_0 = \text{reach}(S) > 0$, then there exist*
 357 *unique values $\Phi_0(S), \dots, \Phi_d(S)$ over such that*

$$\mu(B(S, r)) = \sum_{i=0}^d r^{d-i} \omega_{d-i} \Phi_i(S), \text{ for } 0 \leq r < r_0, \quad (11)$$

358 *where ω_j is the j -dimensional measure of a unit ball in \mathbb{R}^j .*

359 **Remark 3.** *The above result has some connections with other important*
 360 *geometric notions. Some are almost immediate: for example, if S is a com-*
 361 *compact set with positive reach, then $\Phi_d(S) = \mu(S)$ and the outer Minkowski*
 362 *content defined in (2) always exists and corresponds to the first-degree term*
 363 *in (11). Another, not so obvious, deep geometric connection of (11) is as*
 364 *follows: the coefficient $\Phi_0(S)$ coincides with the Euler characteristic of S .*
 365 *This is an integer-valued topological invariant with deep geometric implica-*
 366 *tions, far beyond the scope of this paper; see, e.g., Hatcher (2002) for details.*
 367 *In the following remark we show an example which, in addition to recall the*
 368 *intuitive meaning of $\Phi_0(S)$, will also serve for further generalizations.*

369 *On the other hand, note that $\text{reach}(S) = r_0 > 0$ is just a sufficient*
 370 *condition for polynomial volume in the interval $[0, r_0)$. Many other sets,*
 371 *which do not satisfy $\text{reach}(S) > 0$ (such as that of the right panel in Figure*
 372 *1), might fulfill a polynomial volume property of type (11).*

373 **Remark 4.** *Let us consider the annulus $D = B(0, M) \setminus \text{int}(B(0, m))$, with*
 374 *$m < M$. A direct calculation shows that $\mu(B(D, r)) = 2\pi(M+m)r + \pi(M^2 -$*
 375 *$m^2)$. Moreover, it is clear that $\text{reach}(D) = m$. As a conclusion, the annulus*
 376 *D fulfills $\Phi_0(D) = 0$ in (11). By the way, the same holds for any set, of*
 377 *positive reach, homeomorphic to the annulus (as the Euler characteristic is*
 378 *a topological invariant).*

Now, we are ready to show that in fact (10) applies to a broad class of sets under a quite general condition (expressed in terms of the classical positive reach property).

Proposition 2. *The class $\mathcal{P}(R)$ of sets which fulfill condition (10) contains all regular sets C such that for some closed ball B_1 , with $C \subset \text{int}(B_1)$, the set $E = B_1 \setminus \text{int}(C)$ has positive reach R and it is homeomorphic to an annulus (as that considered in Remark 4).*

Proof. Note that $\mu(B(E, r)) = \mu(E) + \mu(B(B_1, r)) - \mu(B_1) + \mu(C) - \mu(I_r(C))$. Now, E has positive reach R and, by (11), $\mu(B(E, r)) = rL_0^+(E) + \mu(E)$. Note also that $\Phi_0(E) = 0$ since $B_1 \setminus \text{int}(C)$ is homeomorphic to an annulus D (for which $\Phi_0(D) = 0$, according to Remark 4). Therefore,

$$\mu(I_r(C)) = \mu(C) - L(C)r + \pi r^2, \text{ with } L(C) = L_0^+(E) - L_0(B_1).$$

□

As a conclusion, we have that the class of sets fulfilling (10) includes many relevant sets found in practice. See Berrendero et al. (2014) for further information and statistical applications of the notion of polynomial volume.

We are now ready to establish the main result of this section which provides a large class \mathcal{R} of sets which can be distinguished from each other according to the distribution of the respective interpoint distances. In other words, if $C, D \in \mathcal{R}$ then $f_C \neq f_D$, where f_C denotes the density function of the interpoint distance Y_C .

Theorem 2. (a) *Suppose that C is a compact set in \mathbb{R}^2 fulfilling condition (10) of inner polynomial area. Denote by Y_C the interpoint distance in C . Then*

$$\mathbb{P}(Y_C \leq \rho) = \frac{\pi\rho^2}{\mu(C)} - \frac{\pi\rho^3 L(C)}{\mu(C)^2} + \frac{\pi^2\rho^4}{\mu(C)^2} + \frac{1}{\mu(C)^2} \int_{C \setminus I_\rho(C)} \mu(B(x, \rho) \cap C) dx, \quad (12)$$

for $\rho > 0$ be small enough so that $\rho < R$ in (10) and $I_\rho(C) \neq \emptyset$, where $I_\rho(C)$ denotes the inner parallel set $I_\rho(C) = \{x \in C : B(x, \rho) \subset C\}$.

(b) Let C, D be compact sets, with diameter 1, in \mathbb{R}^2 fulfilling the polynomial inner area condition (10). If $\mu(C) \neq \mu(D)$, then the respective interpoint distance have different distributions, that is, $Y_C \stackrel{d}{\neq} Y_D$.

Proof. (a) Let X_1, X_2 be iid random variables uniformly distributed on C . Denote by P_C the probability distribution uniform on C .

$$\begin{aligned} \mathbb{P}(Y_C \leq \rho) &= \int_C \mathbb{P}(X_1 \in B(x, \rho)) dP_C(x) = \int_C P_C(B(x, \rho)) dP_C(x) \\ &= \int_{I_\rho(C)} P_C(B(x, \rho)) dP_C(x) + \int_{C \setminus I_\rho(C)} P_C(B(x, \rho)) dP_C(x) \\ &= \frac{1}{\mu(C)^2} \int_{I_\rho(C)} \mu(B(x, \rho)) dx + \frac{1}{\mu(C)^2} \int_{C \setminus I_\rho(C)} \mu(B(x, \rho) \cap C) dx \end{aligned}$$

$$\begin{aligned}
&= \pi\rho^2 \frac{\mu(I_\rho(C))}{\mu(C)^2} + \frac{1}{\mu(C)^2} \int_{C \setminus I_\rho(C)} \mu(B(x, \rho) \cap C) dx \\
&= \pi\rho^2 \frac{\mu(C) - L(C)\rho + \pi\rho^2}{\mu(C)^2} + \frac{1}{\mu(C)^2} \int_{C \setminus I_\rho(C)} \mu(B(x, \rho) \cap C) dx \\
&= \frac{\pi\rho^2}{\mu(C)} - \frac{\pi\rho^3 L(C)}{\mu(C)^2} + \frac{\pi^2\rho^4}{\mu(C)^2} + \frac{1}{\mu(C)^2} \int_{C \setminus I_\rho(C)} \mu(B(x, \rho) \cap C) dx
\end{aligned}$$

405 (b) This result readily follows from (a). First note that the integral
 406 $\int_{C \setminus I_\rho(C)} \mu(B(x, \rho) \cap C) dx$ in the last term of (12) is of order ρ^3 as $\rho \rightarrow 0$
 407 since the integrand is of type $O(\rho^2)$ and the measure of the integration set
 408 is $O(\rho)$, from the polynomial area assumption. Therefore the main term in
 409 (12) is $\frac{\pi\rho^2}{\mu(C)}$. Now, If $\mu(C) \neq \mu(D)$, the main terms $\frac{\pi\rho^2}{\mu(C)}$ in the respective
 410 expressions (12) for the distribution functions of Y_C and Y_D are different.
 411 Hence, these distribution functions must be different for ρ small enough. \square

412 5. An application to fish family identification from otolith images

413 The AFORO database (<http://www.icm.csic.es/aforo/>) offers an
 414 open online catalogue of fish otolith images. As defined by Tuset et al.
 415 (2008), otoliths are “acellular concretions of calcium carbonate and other
 416 inorganic salts that develop over a protein matrix in the inner ear of ver-
 417 tebrates”. The application of otoliths research has developed significantly
 418 over the last years, see Begg et al. (2005). Fish species identification, age
 419 and growth determination or stock and hatchery management are some of
 420 the most common and important applications of otolith data.

421 The AFORO database contains at present more than 4500 high res-
 422 olution images corresponding to 1382 species and 216 families from the
 423 Mediterranean Sea and the Antarctic, Atlantic, Indic and Pacific Oceans.
 424 For this study, we have considered fishes belonging to three families: *Solei-*
 425 *dae*, *Labridae* and *Scombridae*. There are important features of otoliths
 426 that can be used for species identification. The otolith shape (outline), the
 427 inner groove and the otolith margins, among others, are important char-
 428 acteristics in the morphological description of otoliths. According to the
 429 characterization in Tuset et al. (2008), the terms that better describe the
 430 shape of the otolith’s outline in the family *Soleidae* are discoidal, elliptic
 431 and bullet-shaped (and intermediate shapes between these three). For the
 432 family *Labridae*, the otolith’s outlines are mainly cuneiform, oval and rect-
 433 angular (and intermediate shapes). For the family *Scombridae*, the otoliths
 434 are characterized by their serrate margins. See Figure 2 for examples of
 435 otoliths from these three families.

436
 437 *Interpoint distance: estimated distribution and density functions.* We have
 438 240 high resolution images of otoliths and their corresponding contours (70
 439 *Soleidae*, 125 *Labridae* and 45 *Scombridae*). For the practical implementa-
 440 tion of the method in this example, we need to generate pairs of uniform

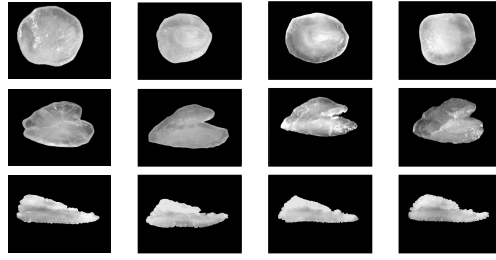


Figure 2: High resolution images of otoliths. First row: *Soleidae*. Second row: *Labridae*. Third row: *Scombridae*.

points within the otholiths (area in black in the filled-in contour images, see Supplementary material). For this purpose, we can use the standard acceptance-rejection method, generating uniform points on a rectangle containing the otolith and accepting those points belonging to the black area. This procedure will be slow on images with a small percentage of black pixels with respect to the bounding rectangle. Another possibility, faster than the acceptance-rejection method, is to select pixels in black randomly and, for each pixel, generate a uniformly distributed random point within that pixel. Other issues about sampling generation in more general situations, such as 3D shapes, are discussed in Osada et al. (2002). For each otolith, we compute the empirical cumulative distribution function of the interpoint distance using the distances (rescaled by the estimated diameter) between 50000 pairs of random points on the otolith. Figure 3 shows the empirical cumulative distribution functions (left) and the estimated interpoint distance densities (right) corresponding to the 240 otoliths (*Soleidae*, *Labridae* and *Scombridae* in dark, medium and light gray, respectively).

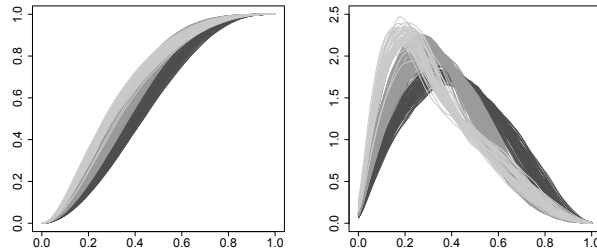


Figure 3: Left, empirical distribution functions of the interpoint distance on the otoliths. Right, estimated densities. In dark gray, *Soleidae*. In medium gray, *Labridae*. In light gray, *Scombridae*.

Hierarchical clustering. First, we apply an agglomerative hierarchical clustering procedure for each pair of families, considering both the L_1 distance between densities and the Wasserstein distance between cumulative distribution functions as the dissimilarity criterion. As linkage method, we have considered single-linkage, complete-linkage and average-linkage. For

the sake of brevity, we only discuss here the average-linkage method, which gives the best results.

Let us first discuss the results on the dataset consisting of *Soleidae* and *Labridae* otoliths (dataset A). Figure 4 shows the dendrogram based on the L_1 distance between the estimated densities. We can consider the otoliths divided in two big groups (represented in dark and light gray). We observe, see Table 1 (left), that one cluster is dominated by *Soleidae* otoliths (94.29% of *Soleidae* otoliths belong to cluster 1) and the other contains mainly *Labridae* otoliths (98.40% of *Labridae* otoliths belong to cluster 2). The results of the clustering procedure based on the Wasserstein distance between distribution functions are quite similar, see Table 1 (right).

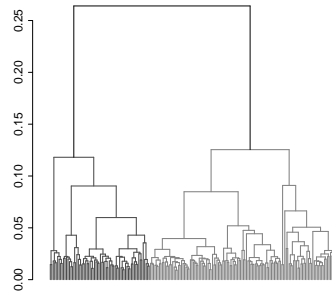


Figure 4: Dendrogram using the L_1 distance between interpoint distance densities for the dataset consisting of *Soleidae* and *Labridae* otoliths (dataset A). The tree is cut into two groups, represented in dark and light gray.

Table 1: Hierarchical clustering on three datasets of otoliths. For each dissimilarity criterion, count and row percent of the true family labels versus the group labels for a partition into two clusters.

		L_1 distance		Wasserstein distance	
		Cluster 1	Cluster 2	Cluster 1	Cluster 2
Dataset A	<i>Soleidae</i>	66	4	67	3
		94.29%	5.71%	95.71%	4.29%
	<i>Labridae</i>	2	123	2	123
		1.60%	98.40%	1.60%	98.40%
Dataset B	<i>Soleidae</i>	69	1	69	1
		98.57%	1.43%	98.57%	1.43%
	<i>Scombridae</i>	0	45	0	45
		0.00%	100.00%	0.00%	100.00%
Dataset C	<i>Labridae</i>	123	2	123	2
		98.40%	1.60%	98.40%	1.60%
	<i>Scombridae</i>	2	43	2	43
		4.44%	95.56%	4.44%	95.56%

Now, let us consider the dataset consisting of *Soleidae* and *Scombridae*

475 otoliths (dataset B). We apply again an agglomerative hierarchical cluster-
 476 ing procedure using both the L_1 distance and the Wasserstein distance as
 477 the dissimilarity criterion. We split the corresponding dendrograms into
 478 two groups. The results are summarized in Table 1 (dataset B). We found
 479 that all but one of the *Soleidae* otoliths belong to the first cluster and all
 480 the *Scombridae* otoliths belong to the other cluster.

481 Finally, we consider the complete dataset consisting of otoliths from the
 482 three families and apply the agglomerative hierarchical clustering procedure
 483 using the L_1 distance. If we cut the corresponding tree into three groups, we
 484 obtain that 94.29% of *Soleidae* otoliths belong to the first cluster, 96.80%
 485 of *Labridae* otoliths belong to the second cluster and 95.56% of *Scombridae*
 486 otoliths belong to the third cluster. The dendrogram and the complete
 487 table of results based on the L_1 distance and the Wasserstein distance can
 488 be found in the Supplementary Material.

489 *k-means clustering.* Now, we investigate the performance of the k -means
 490 clustering algorithm. We apply the k -means algorithm to each pair of fam-
 491 ilies of otoliths ($k = 2$). Here we briefly describe the results based on the
 492 L_1 distance (the complete table of results based on the L_1 distance and
 493 the Wasserstein distance is provided as Supplementary Material). For the
 494 dataset consisting of *Soleidae* and *Labridae* images, we obtain a 96.92% of
 495 correctly clustered otoliths. For the dataset consisting of *Soleidae* and *Scom-*
 496 *bridae* images, we obtain a 99.13% of correctly clustered otoliths. For the
 497 dataset consisting of *Labridae* and *Scombridae* images, we obtain a 97.64%
 498 of correctly clustered otoliths.

500 *Final remarks.* (a) We observe that both clustering methods (hierachical
 501 clustering and k -means) perform reasonably well.

502 We would also like to note that the main reason to choose the families
 503 *Soleidae*, *Labridae* and *Scombridae* was that the AFORO database contains
 504 a large number of images of each of these families. At the beginning of the
 505 study, we had also considered two other large families: *Gobiidae* and *Ser-*
 506 *ranidae* (see the Supplementary Material for examples of otoliths in these
 507 two families). As might be expected, the clustering methods did not per-
 508 form well, for example, for the dataset containing *Gobiidae* and *Soleidae*
 509 otoliths since the shape of some of the *Gobiidae* otoliths resembles that of
 510 the *Soleidae* otoliths. The same occurs for the dataset containing *Serranidae*
 511 and *Labridae* otoliths.

512 (b) As a referee pointed out to us, the use of interpoint distance distri-
 513 butions can be extended to more general (not necessarily planar) situations.
 514 Thus, otholits are in fact three-dimensional structures, one might consider
 515 also the 3D extension of our technique. Likewise, one might think of in-
 516 corporating possibly non-uniform choices of the random points defining the
 517 interpoint distances. This would entail additional theoretical and computa-
 518 tional challenges; see Tebaldi et al. (2011) for computational aspects related
 519

520 to interpoint distance distributions.

521 6. Discussion. Connections with FDA

522 The study of those problems where the “sample elements” and/or the
523 target “parameters” are members of an infinite-dimensional space is today
524 a mainstream topic in statistical research. Of course, the classical nonpara-
525 metric curve estimation theory (developed since the 1960’s) is an impor-
526 tant precedent but perhaps the excellent book by Grenander (1981) is one
527 of the pioneering references in putting together these ideas in a more or
528 less systematic fashion. As it often happens in the beginnings of a new
529 scientific theory, the terminologies are not unified. Grenander’s proposal
530 *abstract inference*, has been later be replaced by the non-exactly equiva-
531 lent, *infinite-dimensional statistics* (Bongiorno et al. (2014)) or *functional*
532 *statistics*. Recently, the overview paper Marron and Alonso (2014) pro-
533 poses the name Object Oriented Data Analysis (OODA) to refer to “*sta-*
534 *tistical analysis of populations of complex objects*”; In that paper, classical
535 Kendall’s Shape Analysis (SA) is explicitly included in the OODA frame-
536 work, alongside *Functional Data Analysis (FDA)*, the study of statistical
537 methods (regression, classification, principal components, etc.) suitable for
538 those situations in which the sample data x_1, \dots, x_n are functions, typically
539 (but not necessarily) depending of one real variable, $x_i : [a, b] \rightarrow \mathbb{R}$.

540 If we take the number of publications as a hint of the popularity of a
541 scientific topic, FDA is perhaps the most successful chapter in the field of
542 infinite-dimensional statistics. Since the popular textbook by Ramsay and
543 Silverman (1997), several other well-known monographs have contributed
544 to the popularization of FDA; see Ferraty and Vieu (2006), Ferraty and
545 Romain (2011) and Horváth and Kokoszka (2012), among others. See also,
546 Cuevas (2014) for a recent overview.

547 We think that Marron and Alonso (2014) make a good point in bringing
548 together shape analysis and FDA as two particular instances of OODA. In
549 fact, the conceptual relation between both topics is quite obvious at a formal
550 level, since shapes can be ultimately identified with functions of some kind
551 (or equivalence classes of functions). However, the connection holds true
552 from, at least, two other more relevant aspects:

553 (a) We have shown that (under some restrictions) shapes can be identi-
554 fied with *density functions* (those of the corresponding interpoint distance
555 distributions). Hence, following our approach, a statistical problem with
556 shapes can be recast as a FDA problem in which the available data are
557 density functions. See Delicado (2011) for an account of this topic. Many
558 interesting issues can be considered in such a setup: for example, principal
559 components analysis and other techniques of dimension reduction.

560 (b) Still, considering SA from the FDA point of view suggest to study
561 the adaptation of the increasing literature on FDA *variable selection* (or
562 *feature selection*), to the SA framework; see, for example Berrendero et al.

(2015) and references therein for some recent theoretical and practical insights on this subject. In particular, it seems worthwhile to analyze the possible connections between some of these variable selection and the classical landmarks theory in shape analysis.

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Supplementary material

The “Supplementary material” document provides additional figures and tables for Section 5. It includes also a short discussion on the relation between the covariogram function and the interpoint distances distribution.

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Supplementary Material for “Shape classification based on interpoint distance distributions”

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An application to fish family identification from otolith images

Filled-in contour images. The AFORO database contains both high resolution and filled-in contour images of otoliths, see Figure 1. The results in the study are obtained from the filled-in contour images.



Figure 1: High resolution image (left) and filled-in contour image (right) of a *Soleidae* otolith.

Hierarchical clustering. We consider the complete dataset consisting of otoliths from three families of fishes (*Soleidae*, *Labridae* and *Scombridae*) and apply an agglomerative hierarchical clustering procedure using both the L_1 distance and the Wasserstein distance as dissimilarity criterion. In Figure 2 we show the dendrogram obtained using the L_1 distance. If we cut the corresponding tree into three groups, we obtain that 94.29% of *Soleidae* otoliths belong to the first cluster, 96.80% of *Labridae* otoliths belong to the second cluster and 95.56% of *Scombridae* otoliths belong to the third cluster. See Table 1 for the complete table of results.

k-means clustering. In this section, we investigate the performance of the k -means clustering algorithm. We apply the k -means algorithm to each pair of families of otoliths ($k=2$). We present the results based on the L_1 distance between densities and the Wasserstein distance between distributions, see Table 2.

We observe that k -means performs reasonably well, except perhaps on the dataset consisting on *Labridae* and *Scombridae* otoliths (dataset C), see Table 2. The k -means algorithm highly depends on the initial centroids and this may be the reason for the not so good results in this dataset.

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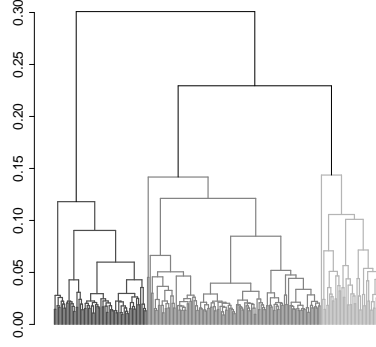


Figure 2: Dendrogram using the L_1 distance between the estimated interpoint distance densities of the otoliths in the families *Soleidae*, *Labridae* and *Scombridae*. The tree is cut into three groups, represented in different tones of gray.

Table 1: Results of the hierarchical clustering procedure for *Soleidae*, *Labridae* and *Scombridae* otoliths. For each dissimilarity criterion, count and row percent of the true family labels versus the group labels for a partition into three clusters.

	L_1 distance			Wasserstein distance		
	Cluster 1	Cluster 2	Cluster 3	Cluster 1	Cluster 2	Cluster 3
<i>Soleidae</i>	66	4	0	58	12	0
	94.29%	5.71%	0.00%	82.85%	17.14%	0.00%
<i>Labridae</i>	2	121	2	0	123	2
	1.60%	96.80%	1.60%	0.00%	98.40%	1.60%
<i>Scombridae</i>	0	2	43	0	2	43
	0.00%	4.44%	95.56%	0.00%	4.44%	95.56%

Table 2: Results of the k -means algorithm ($k = 2$). For each distance, contingency table (count and row percent) of the true family labels versus the group labels.

		L_1 distance		Wasserstein distance	
		Cluster 1	Cluster 2	Cluster 1	Cluster 2
Dataset A	<i>Soleidae</i>	68	2	65	5
		97.14%	2.86%	92.86%	7.14%
	<i>Labridae</i>	4	121	0	125
		3.20%	96.80%	0.00%	100.00%
Dataset B	<i>Soleidae</i>	69	1	67	3
		98.57%	1.43%	95.71%	4.29%
	<i>Scombridae</i>	0	45	0	45
		0.00%	100.00%	0.00%	100.00%
Dataset C	<i>Labridae</i>	123	2	101	24
		98.40%	1.60%	80.80%	19.20%
	<i>Scombridae</i>	2	43	5	40
		4.44%	95.56%	11.11%	88.89%

Gobiidae and *Serranidae* otoliths. At the beginning of the study, we had also considered two other large families: *Gobiidae* and *Serranidae* (see Figure 3 for examples of otoliths in these two families). As might be expected, the clustering methods did not perform well, for example, for the dataset containing *Gobiidae* and *Soleidae* otoliths. Note that the shape of some of the *Gobiidae* otoliths resembles that of the *Soleidae* otoliths. The same occurs for the dataset containing *Serranidae* and *Labridae* otoliths.

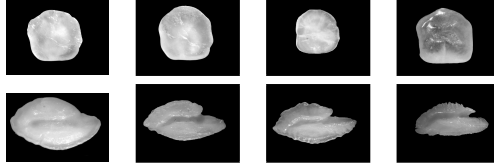


Figure 3: High resolution images of otoliths. First row: *Gobiidae*. Second row: *Serranidae*

Interpoint distances and covariogram

In this section we will establish some simple relationships between the interpoint distance and the covariogram, a well-known tool in stochastic geometry. As a consequence, some properties of the interpoint distance distribution will result.

The covariogram of a bounded Borel set $A \subset \mathbb{R}^d$ is defined by

$$K_A(y) = \mu(A \cap T_y A),$$

where $y \in \mathbb{R}^d$, $T_y A = A - y = \{a - y : a \in A\}$ and μ denotes the Lebesgue measure in \mathbb{R}^d .

This function has proven to be useful in different problems of stochastic geometry and stereology. Some references are Cabo and Baddeley (1995, 2003) and Galerne (2011). First note that

$$K_A(y) = \int_{\mathbb{R}^d} \mathbb{I}_A(x) \mathbb{I}_A(x - y) dx,$$

so that, K_A can be alternatively expressed in terms of a convolution of two indicator functions,

$$K_A = \mathbb{I}_A * \mathbb{I}_{-A}, \tag{1}$$

where $-A$ denotes the symmetric set $-A = \{-x : x \in A\}$.

Note that (1) is, up to a multiplicative constant, the density function of $X_1 - X_2$, where X_1, X_2 are iid random variables uniform on A . As a conclusion, K_A fully determines the distribution of the interpoint distance Y_A .

Let us now briefly summarize some other relevant properties of this function; see, e.g. Lemmas 1.2, 1.3 and 1.4 in Cabo and Baddeley (1995) and Proposition 2 in Galerne (2011).

Lemma 1. *Let $A \in \mathbb{R}^d$ be a bounded Borel set with covariogram K_A .*

(i) *For all $y \in \mathbb{R}^d$, $0 \leq K_A(y) \leq K_A(0) = \mu(A)$. Moreover, $K_A(y) = 0$ whenever $\|y\| \geq \text{diam}(A)$, $K_A(y) = K_A(-y)$ for all $y \in \mathbb{R}^d$ and K_A is uniformly continuous on \mathbb{R}^d .*

(ii) *For any integrable $f : [0, \infty) \rightarrow \mathbb{R}$,*

$$\int_A \int_A f(\|x - y\|) dx dy = \int_{\mathbb{R}^d} f(\|w\|) K_A(w) dw.$$

This is the so-called “Borel’s overlap formula”. Two interesting particular cases are obtained for $f \equiv 1$ and $f(t) = \mathbb{I}_{[0, \rho]}(t)/\mu(A)^2$, leading respectively to

$$\int_{\mathbb{R}^d} K_A(y) dy = \mu(A)^2 \quad (2)$$

and

$$\mathbb{P}\{Y_A \leq \rho\} = \frac{1}{\mu(A)^2} \int_{B(0, \rho)} K_A(y) dy, \text{ for } \rho > 0, \quad (3)$$

where $Y_A = \|X_1 - X_2\|$, X_1 and X_2 being independent random variables uniformly distributed on A .

The following property of the interpoint distance distribution follows directly from Lemma 1.

Proposition 1. *Let X_1, X_2 be independent random variables uniformly distributed on C . Denote $Y_C = \|X_1 - X_2\|$. Then, Y_C has a continuous density f_C with $f_C(0) = 0$ and $f_C(\rho_C) = 0$, where $\rho_C = \text{diam}(C)$.*

Proof. Performing a change of variables to polar coordinates in (3) we have

$$\mathbb{P}\{\|X_1 - X_2\| \leq \rho\} = \frac{1}{\mu(C)^2} \int_0^\rho \int_0^{2\pi} r K_C(r \cos \theta, r \sin \theta) d\theta dr$$

Since K_C is continuous, we can differentiate under the integral sign to get that the distribution of the interpoint distance has the following continuous density

$$f_C(\rho) = \frac{1}{\mu(C)^2} \int_0^{2\pi} \rho K_C(\rho \cos \theta, \rho \sin \theta) d\theta, \text{ for all } \rho \in [0, 1].$$

In particular, for $\rho = 0$ we get $f_C(0) = 0$. Also, from result (i) in Lemma 1, $f_C(\rho_C) = 0$. □

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